



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/755,650
Filed: January 5, 2001
Inventor(s):
Brett B. Stewart

Examiner: Myhre, James W.
Group/Art Unit: 2162
Atty. Dkt. No: 5285-00106

Title: Distributed Network System
which Transmits
Information to Users Based
on Past Transactions of the
Users

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Jeffrey C. Hood

Name of Registered Representative

Signature

4/7/2002

Date

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

APR 16 2002

Technology Center 2100

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
☐ before the mailing date of a first Office Action on the merits; or
☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

04/12/2002 REEBRAHT 00000055 501623 09755650

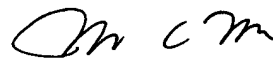
01 FC:126 180.00 CH

- b. ☒ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☒ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) request that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 03-2769/5285-00106/JCH.

Respectfully submitted,



Jeffrey C. Hood
Reg. No. 35,198
Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C.
P. O. BOX 398
AUSTIN, TEXAS 78767-0398
(512) 476-1400

Date: 4/9/2002



RECEIVED

APR 16 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/755,650
Filed: January 5, 2001
Inventor(s):
Brett B. Stewart

§ Examiner: Myhre, James
§ Group/Art Unit: 2162
§ Atty. Dkt. No: 5285-00106
§
§
§
§
§
§
§
§
§
§

Technology Center 2100

Title: Distributed Network System
which Transmits Information to
Users Based on Past Transactions
of the Users

I hereby certify that this correspondence is being deposited with
the United States Postal Service with sufficient postage as first
class mail in an envelope addressed to Assistant Commissioner
for Patents, Washington, DC 20231, on the date indicated below.

Jeffrey C. Hood

Name of Registered Representative

Signature

4/8/2002

Date

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5285-00106/JCH:

Fee: \$180.00 Information Disclosure Statement
Total Amount: \$180.00
Attorney Docket No.: 5285-00106

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5285-00106/JCH.

Respectfully submitted,

Jeffrey C. Hood

Reg. No. 35,198

ATTORNEY FOR APPLICANT(S)

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400